This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representation of The original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

OPIC OFFICE DE LA PROPRIÉTÉ INTELLECTUELLE DU CANADA

CIPO
CANADIAN INTELLECTUAL
PROPERTY OFFICE

Orrawa Hull K1A 0C9

(21) (A1) 2,166,108 (86) 1994/06/23 (43) 1995/01/05

- 6 (51) Int.Cl. A61K 38/28; A61K 9/72; A61K 9/14; A61K 47/28; A61K 47/24; A61K 47/26; A61K 47/40; A61K 47/12
- (19) (CA) APPLICATION FOR CANADIAN PATENT (12)
- (54) Therapeutic Preparation for Inhalation
- (72) Bäckström, Kjell Göran Erik Sweden;
 Dahlbäck, Carl Magnus Olof Sweden;
 Edman, Peter Sweden;
 Johansson, Ann Charlotte Birgit Sweden;
- (71) ASTRA AKTIEBOLAG Sweden ;
- (30) (SE) 9302198-8 1993/06/24 (SE) 9400370-4 1994/02/04
- (57) 47 Claims

Notice: This application is as filed and may therefore contain an incomplete specification.

Canadä





WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:	A1	(11) International Publication Number:	WO 95/00127
A61K 9/72, 9/14, 37/26, 47/12		(43) International Publication Date:	5 January 1995 (05.01.95)

(21) International Application Number:

PCT/SE94/00633

(22) International Filing Date:

23 June 1994 (23.06.94)

(30) Priority Data:

9302198-8 9400370-4 24 June 1993 (24.06.93) 4 February 1994 (04.02.94)

SE SE

(71) Applicant: ASTRA AKTIEBOLAG [SE/SE]; S-151 85 Södertälje (SE).

(72) Inventors: BĂCKSTRÖM, Kjell, Göran, Erik; Notariegränden 4, S-226 47 Lun (SE). DAHLBĂCK, Carl, Magnus, Olof; Sköldgränden 10, S-224 75 Lund (US). EDMAN, Peter; Kamrersvägen 18, S-237 34 Bjärred (SE). JOHANSSON, Ann, Charlotte, Birgit; Arkeologvägen 65, S-226 54 Lund (SE).

(74) Agent: VAUGHAN, Jennifer, Astra Aktiebolag, Patent Department, S-151 85 Södertälje (SE).

(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

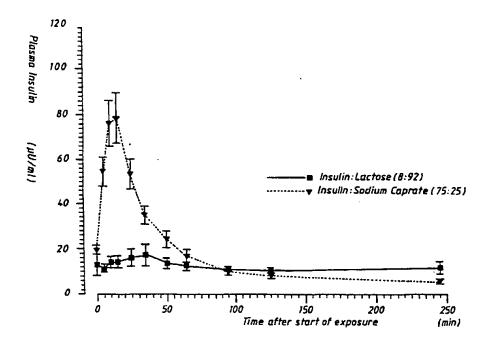
Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

2166108

(54) Title: THERAPEUTIC PREPARATION FOR INHALATION



(57) Abstract

A therapeutic preparation for inhalation which comprises insulin and a substance which enhances the absorption of insulin in the lower respiratory tract, is provided in the form of a powder preparation suitable for inhalation.

15

20

Claims

- 1. A therapeutic preparation, comprising active compounds (A) insulin and (B) a substance which enhances the absorption of insulin in the lower respiratory tract, in the form of a dry powder suitable for inhalation in which at least 50% of the total mass of active compounds consists of (a) particles having a diameter of up to 10 microns or (b) agglomerates of such particles.
- 2. A therapeutic preparation as claimed in claim 1, characterised in that the therapeutic preparation contains only said active compounds.
 - 3. A therapeutic preparation as claimed in claim 1, characterised in that the dry powder contains, in addition to said active compounds, a pharmaceutically acceptable carrier.
 - 4. A therapeutic preparation as claimed in claim 3, characterised in that said carrier consists of particles having a diameter of up to 10 microns such that at least 50 % of said dry powder consists of (a) particles having a diameter of up to 10 microns or (b) agglomerates of such particles.
 - 5. A therapeutic preparation as claimed in claim 3, characterised in that said carrier consists of coarse particles, such that an ordered mixture may be formed between said active compounds and the carrier.
- A therapeutic preparation as claimed in claim 4, in which at least 50 % of the dry powder consists of (a) particles having a diameter of between 1 and 6 microns or (b) agglomerates of such particles.
- 7. A therapeutic preparation as claimed in claim 1 or claim 5, in which at least 50 % of the total mass of active compounds (A) and (B) consists of particles

having a diameter of between 1 and 6 microns.

8. A therapeutic preparation as claimed in claim 1, characterised in that the insulin is bovine, porcine, biosynthetic or semisynthetic human insulin, or a biologically active derivative of human insulin.

24

- 9. A therapeutic preparation as claimed in claim 8, characterised in that the insulin is semisynthetic human insulin.
- 10. A therapeutic preparation as claimed in claim 8, characterised in that the insulin is a biosynthetic human insulin.
 - 11. A therapeutic preparation of insulin as claimed in claim 1, characterised in that the substance which enhances the absorption of insulin in the lower respiratory tract is a substance which promotes the absorption of insulin through the layer of epithelial cells in the lower respiratory tract and into the adjacent pulmonary vasculature.
- 12. A therapeutic preparation as claimed in claim 11, characterised in that
 20 the substance which enhances the absorption of insulin in the lower respiratory tract
 is a surfactant.
 - 13. A therapeutic preparation as claimed in claim 11, characterised in that the substance which enhances the absorption of insulin in the lower respiratory tract is an anionic surfactant.
 - 14. A therapeutic preparation as claimed in claim 11, characterised in that the substance which enhances the absorption of insulin in the lower respiratory tract is a bile salt or a bile salt derivative.

25

5

- 15. A therapeutic preparation as claimed in claim 11, characterised in that the substance which enhances the absorption of insulin in the lower respiratory tract is a phospholipid.
- 5 16. A therapeutic preparation as claimed in claim 11, characterised in that the substance which enhances the absorption of insulin in the lower respiratory tract is an alkyl glycoside.
- 17. A therapeutic preparation as claimed in claim 11, characterised in that the substance which enhances the absorption of insulin in the lower respiratory tract is a cyclodextrin or derivative thereof.
 - 18. A therapeutic preparation as claimed in claim 11, characterised in that the substance which enhances the absorption of insulin in the lower respiratory tract is the salt of a fatty acid.
 - 19. A therapeutic preparation as claimed in claim 11, characterised in that the substance which enhances the absorption of insulin in the lower respiratory tract is a salt of capric acid.
 - 20. A therapeutic preparation as claimed in claim 11, characterised in that the substance which enhances the absorption of insulin in the lower respiratory tract is sodium caprate.
- 25 21. A therapeutic preparation comprising active compounds (A) insulin and (B) sodium caprate, which preparation is in the form of a dry powder suitable for inhalation, in which at least 50 % of the total mass of active compounds (A) and (B) consists of (a) primary particles having a diameter of less than 10 microns, or (b) agglomerates of such particles.

WO 95/00127 PCT/SE94/00633

2166108 26

22. A therapeutic preparation as claimed in claim 21, containing only said active compounds.

- 23. A therapeutic preparation as claimed in claim 21, characterised in that the dry powder contains, in addition to said active compounds, a pharmaceutically acceptable carrier.
- 24. A therapeutic preparation comprising insulin, sodium caprate and a pharmaceutically acceptable carrier, which preparation is in the form of a dry powder suitable for inhalation of which at least 50% by mass consists of (a) particles having a diameter of less than about 10 microns, or (b) agglomerates of said particles.
- 25. A therapeutic preparation, comprising
 active compounds (A) insulin and (B) sodium caprate wherein at least
 50 % of the total mass of active compounds (A) and (B) consists of particles having
 a diameter of less than about 10 microns, and

a pharmaceutically acceptable carrier,

20

25

which preparation is in the form of a dry powder preparation suitable for inhalation in which an ordered mixture may be formed between the active compounds and the pharmaceutically acceptable carrier.

- 26. A therapeutic preparation as claimed in claim 1 or claim 21, characterised in that the ratio of insulin to enhancer in said preparation is in the range 9:1 to 1:1.
- 27. A therapeutic preparation as claimed in claim 1 or claim 21, characterised in that the said ratio is in the range 5:1 to 2:1.
- 28. A therapeutic preparation as claimed in claim 1 or claim 21, characterised in that the said ratio is in the range 4:1 to 3:1.

- 29. A therapeutic preparation as claimed in claim 3 or claim 23, characterised in that the additive is selected from mono-, di-, and polysaccharides, sugar alcohols and other polyols.
- 5 30. A therapeutic preparation as claimed in claim 3 or claim 23, characterised in that the additive is a non-reducing sugar.
- 31. A therapeutic preparation as claimed in claim 30, characterised in that the additive is raffinose, melezitoze, lactitol, maltitol, trehalose, sucrose, mannitol or starch.
 - 32. Use of a therapeutic preparation as claimed in claim 1 or claim 21, in an inhalation device.
- 15 33. Use as claimed in in claim 32, characterised in that the inhalation device provides protection of the powder for inhalation from moisture, and has minimal risk of overdosing.
- 34. Use as claimed in claim 32, characterised in that the inhalation device is a single dose, breath actuated, dry powder inhaler for single usage.
 - 35. Use as claimed in claim 32, characterised in that the inhalation device is a multi dose, breath actuated, dry powder inhaler for multiple use.
- 25 36. A dry powder inhaler device containing the therapeutic preparation of claim 1 or claim 21.
- 37. A single dose, breath actuated, dry powder inhaler for single usage, containing a therapeutic preparation for inhalation, which preparation comprises active
 30 compounds (A) insulin and (B) sodium caprate and is in the form of a dry powder in

which at least 50% of the total mass of active compounds (A) and (B) consists of particles having a diameter of up to 10 microns.

38. A process for the manufacture of a therapeutic preparation of insulin, comprising forming a solution of insulin and a substance which enhances the absorption of insulin in the lower respiratory tract, removing the solvent by evaporation or otherwise to obtain a solid, and optionally grinding and/or mixing said solid to obtain a powder of which at least 50% consists of particles which have a diameter of up to 10 microns.

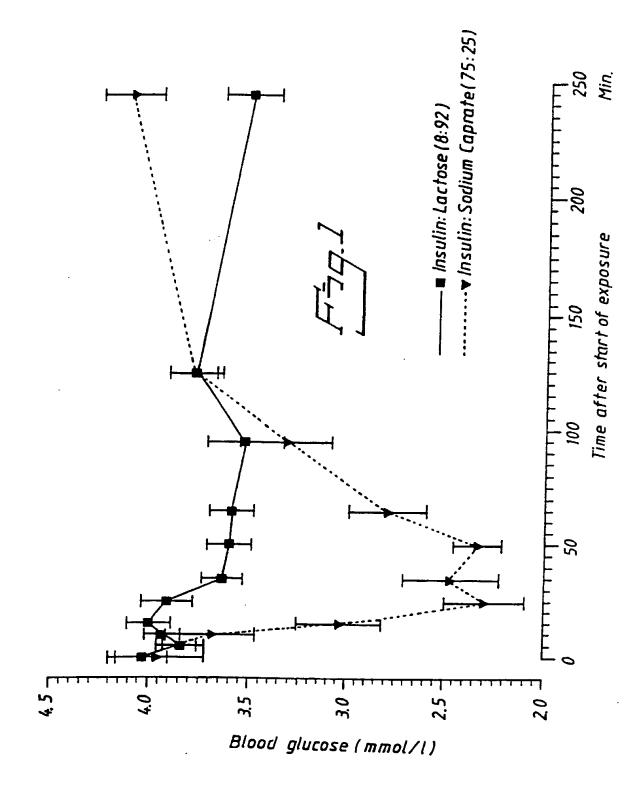
10

- 39. A process as claimed in claim 38, comprising adding, in addition to said substance which enhances the absorption in the lower respiratory tract, a pharmaceutically acceptable carrier.
- 15 40. A process for the preparation of a therapeutic preparation of insulin, comprising dry-mixing insulin together with a substance which enhances the absorption of insulin in the lower respiratory tract, and optionally grinding and/or mixing said solid to obtain a powder of which at least 50% consists of particles which have a diameter of up to 10 microns.

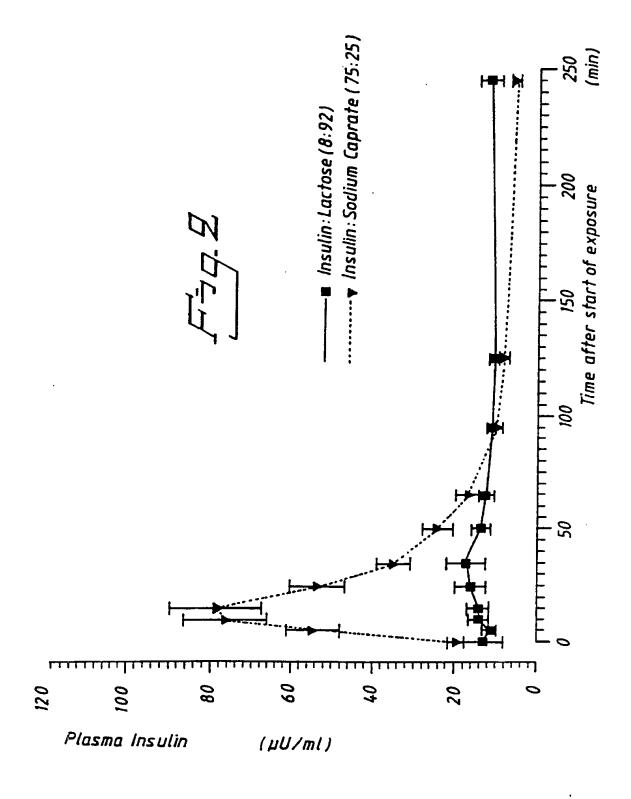
20

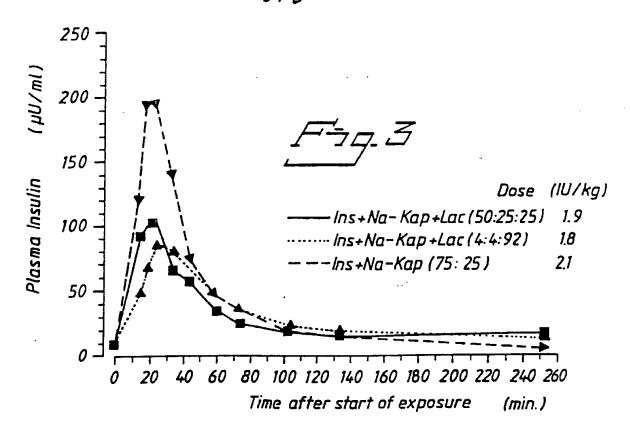
- 41. A process as claimed in claim 40, comprising dry-mixing a pharmaceutically acceptable carrier together with the insulin and substance which enhances the absorption of insulin in the lower respiratory tract.
- 42. A process as claimed in claim 38 or 40, comprising the additional step of micronising the preparation.
 - 43. A process as claimed in claim 39 or 41, comprising the additional step of preparing an ordered mixture of the said powder with a pharmaceutically acceptable carrier.

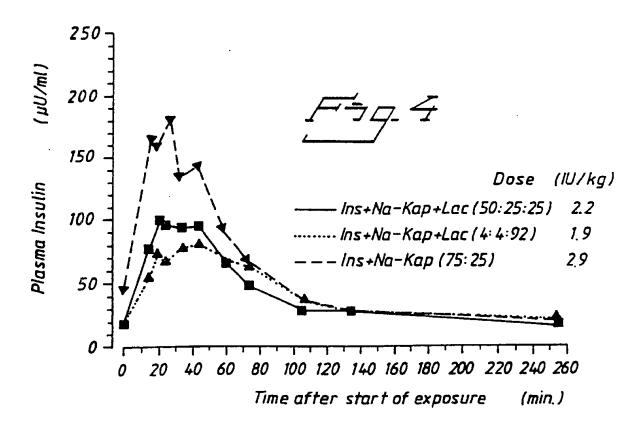
- 44. Use of an enhancer in the preparation of an inhalable dry powder preparation of insulin with enhanced systemic absorption of insulin in the lower respiratory tract, in which at least 50% of the total mass of insulin and enhancer consists of (1) particles having a diameter of 10 microns or less, or (2) agglomerates of said particles.
- 45. Use according to claim 44, wherein the enhancer is a surfactant.
- 46. Use according to claim 44, wherein the enhancer is a salt of a fatty acid.
 - 47. Use according to claim 44, wherein the enhancer is sodium caprate.



SUBSTITUTE SHEET

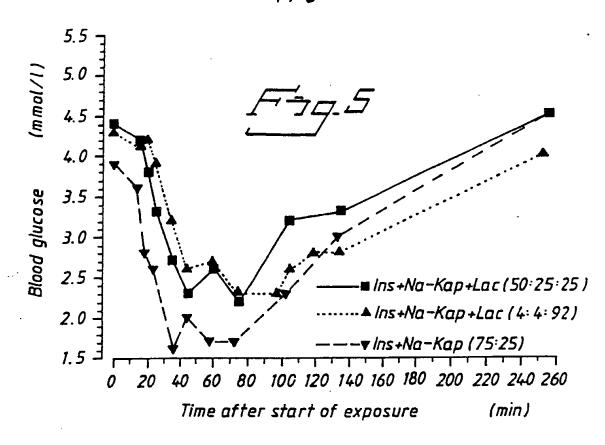


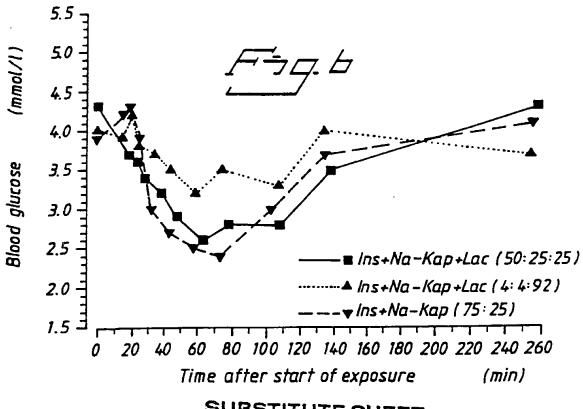




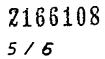
SUBSTITUTE SHEET

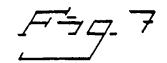
4/5

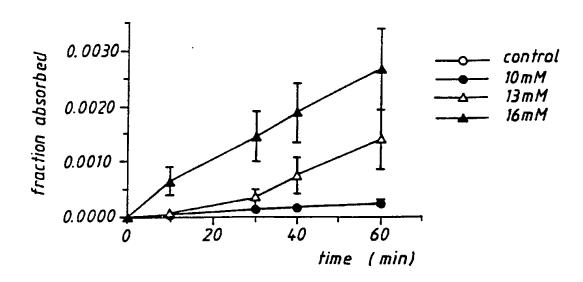


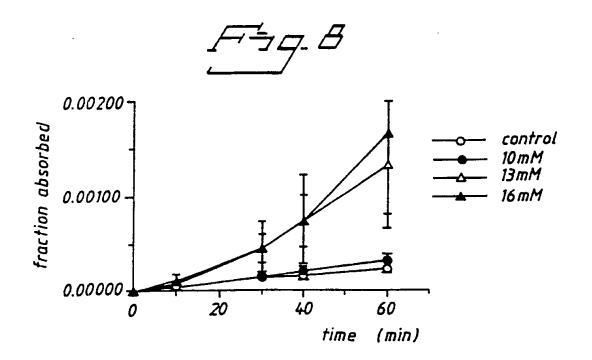


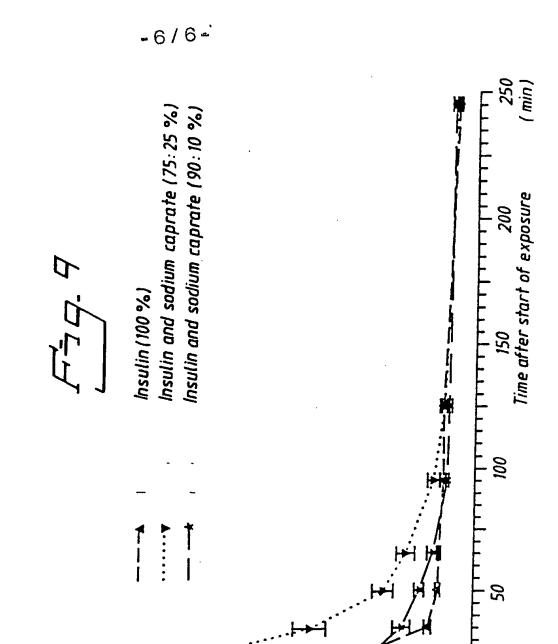
SUBSTITUTE SHEET











Plasma Insulin

(µU/ml)

SUBSTITUTE SHEET